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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 3802-166-27 NATL Applicant: Hallenbeck, et al. (306229-326)Serial No.: 10/553.386 Confirmation No.: 9076 April 15, 2004 Int'l Filing Int'l App. PCT/US04/11623 No.: Date: For: TMPRSS2 REGULATORY Examiner: To be assigned SEQUENCES AND USES

DECLARATION UNDER 37 C.F.R. 1.47(A)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THEREOF

Sir:

- I. Jessica N. Cecena, do hereby declare and state that:
- 1. I have first hand knowledge of the following statement of facts, which are pertinent to the inability to locate the non-signing inventor. Carl Hay, of the above-referenced patent application.
 - 2. The last known address for Mr. Hay is as follows:

28301 Honeysuckle Drive Damascus, MD 20872

- 3. There are no other addresses known for Mr. Hay.
- 4. The following steps have been taken in an effort to locate Mr. Hay (Exhibits Λ-Ε):
- a. Shanthi Ganesh, a former coworker of Mr. Hay provided Mr. Hay's residence address, as stated above.

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b. A package including a copy of the inventor's Declaration. Power of Attorney,

Petition and Assignment was sent by me via Fedex to Mr. Hay for his signature on August 17.

2006 and I received no response.

c. Michelle Rollance, another former coworker of Mr. Hay contacted him on

October 5, 2006 and Mr. Hay indicated that he did not want to sign the declaration.

5. All statements made herein of my own knowledge are true and all statements made on

information and belief are believed to be true. Furthermore, I am aware that willful false

statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001.

and that such willful false statements may jeopardize the validity of the above-captioned patent

application, and any patent issuing thereon.

Date: October 26, 2006

Jessica N. Čecena

October 18, 2006

NOTE TO FILE

GTI 008.2 US

US Patent Application No.: 10/553,386

For: TMPRSS2 Regulatory Sequences and Uses Thereof

Inventors: Paul Hallenbeck, Garret Hampton, Carl Hay, Ying Huang, John Jakubczak

Carl Hay declined to sign the Declaration and Assignment of Application documents.

We first approached Shanthi Ganesh, a former GTI employee with CG, and asked her assistance to contact him on our behalf. Attached are e-mail correspondence from Shanthi attempting to track down Carl through other former GTI colleagues working with him at MedImmune. Shanthi also asked Michelle Rollance, also a former GTI employee with CG, to speak with him when she visits MD.

October 5 – spoke with Michelle Rollance. She relayed that she met him during vacation and asked him about the documents. He told her he refused to sign it. She tried to convince him otherwise and he said he would give it more thought.

10/18/06 - to date we have haven't received the documents back from him.

Jessica Cecena

From:

Shanthi Ganesh

Sent:

Friday, September 22, 2006 9:41 AM

To:

Jessica Cecena

Subject: FW: Hi

Jessica.

Everyone is trying to push Carl... Hopefully he will email us??

Shanthi

From: Clarke, Lori [mailto:clarkel@MedImmune.com]

Sent: Friday, September 22, 2006 6:39 AM

To: Shanthi Ganesh Subject: RE: Hi

Hi Shanthi-

Carl is around but not always the best at responding quickly to e-mails. I'll make sure he got the e-mail okay and give him a gentle little push to get back to you.

Take care, Lori

-----Original Message-----

From: Shanthi Ganesh [mailto:shanthi.ganesh@cellgenesys.com]

Sent: Thursday, September 21, 2006 11:35 AM

To: Clarke, Lori Subject: Hi

Hi Lori,

Is Carl around or is he away on vacation? I emailed him also and didn't hear from him yet. Just wondering. Anyway, Michele is counting days to go back to MD to meet you guys. She is very excited. Catch up with you later.

Shanthi

Jessica Cecena

From:

Shanthi Ganesh

Sent:

Monday, September 18, 2006 1:22 PM

To:

Jessica Cecena

Subject: RE: former GTI folks

Jessica,

I do have contacts with Paul Hallenbeck and Carl Hay. If you want me to write them and introduce them like last time, please let me know. I do not know who Garrett Hampton is though.

Shanthi

From: Jessica Cecena

Sent: Monday, September 18, 2006 1:17 PM

To: Shanthi Ganesh **Subject:** former GTI folks

Hi Shanthi,

Could you please let me know if you're still in contact with these former GTI employees or know of their current whereabouts:

Paul Hallenbeck Garrett Hampton Carl Hay

We trying to track them down to have them sign some patent assignment documentation.

Thanks for your help.

-Jessica

Jessica N. Cecena
Patent & Contract Administrator
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CELL GENESYS

Direct Dial: (650) 266-3005 Fax No.: (650) 266-2940

E-Mail: <u>lessica.Cecena@cellgenesys.com</u>

August 17, 2006

Carl Hay 28301 Honeysuckle Drive Damascus, MD 20872

Re:

U.S. Patent Application No.: 10/553,386

for: "TMPRSS2 Regulatory Sequences and Uses Thereof"

by: Paul Hallenbeck, Garret Hampton, Carl Hay, Ying Huang, John Jakubczak

Our ref: GTI 008.2 US

Dear Dr. Hay,

Enclosed for your signature are Declaration and Assignment of Application forms for the above-referenced patent application. <u>Please sign and date both forms in BLUE ink</u>. **ONLY** the Assignment needs to be notarized. After signing, please return them to me via the enclosed pre-stamped envelope. Please include the receipt for the Notary Public fee for reimbursement.

The deadline for filing this Declaration is <u>September 26, 2006</u>, so please send it back to my attention before September 8.

Please do not hesitate to contact me at (650) 266-3005 or at *Jessica.Cecena@cellgenesys.com* if you have any further questions or need additional information regarding this matter.

Sincerely,

Jessica N. Cecena

Patent & Contracts Administrator

Enclosures

From: Origin ID: (650)266-3096

Jessica Cecena Cell Genesys 500 Forbes Blvd.

South San Francisco, CA 94080



BILL SENDER

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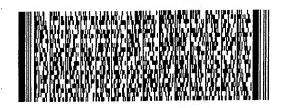


Delivery Address Bar Code

SHIP TO: (000)000-0000 Carl Hay

28301 Honeysuckle Drive

Damascus, MD 20872



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20872 -MD-US RES



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